

**ANNEXURE I**

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity - **Mercator Limited**  
 2. Quarter ending - **30-Sep-2021**

**i. Composition Of Board Of Director**

T i t l e ( M r / M s )	Name of the Dir ect or	D I N	P A N	Cat ego ry (Ch air pers on / Exe cutiv e/ No n- Exec utive/ Inde pend ent/ Nomi nee)	S u b C a t e g o r y	Ini tia l D a t e of A p p o i n t m e n t	Dat e of App oint me nt	Dat e of ces ati on	T e n u r e	D a t e of Bi rt h	Wh eth er spe cial res olut ion pas sed ?	D a t e of p a ss in g s p e ci al res ol uti on	N o. of Di re ct or s h i p in lis ted en t it ie s in cl u d i n g th is lis ted en t it y	No of Inde pend ent Dire ct or ship in lis ted entit ies in cl u d i n g th is lis ted en t it y	No of me m b ers h i p s in Au dit/ Sta keh old er Co m m it te (s) in cl u d i n g th is lis ted en t it y	No of po st of Ch air pers on in Au dit/ St ak eh old er Co m m it te h el d in lis ted ent it ies in cl u d i n g th is lis ted en t it y	Me m b er sh i p in Co m m it tee s of the Co m p a n y	Rem arks
M r.	Har ish Ku mar Mit tal	0 0 0 7 6	A A T P M	C & ED		23- Ma y- 198 8	31- Dec- 2019			1 9- Feb - 1 9	NA		1	0	2	0	AC,SC	

		9 0	3 6 F						4 9								
M r.	Jag mo han Tal an	0 8 8 9 0 3 5 9 3	A M H P T 5 9 2 2 H	ID		23- Sep - 202 0	23- Sep- 2020		6 0	1 5- Ju 1- 1 9 7 2	NA		1	1	2	1	AC,SC ,RC,N RC
M r.	Suk hda rsh an Sing h Be di	0 8 8 8 9 6 6 4	A A Q P B 5 6 4 4 M	ID		23- Sep - 202 0	23- Sep- 2020	12- Au g- 202 1	6 0	0 3- Ju 1- 1 9 5 1	NA		1	1	2	1	AC,SC ,RC,N RC
M rs .	Rit u Vat s	0 8 8 9 0 5 9 1	A E L P V 7 8 3 8 N	ID		23- Sep - 202 0	23- Sep- 2020		6 0	2 4- M ay - 1 9 7 6	NA		1	1	1	0	AC,N RC

Company Remarks	Company is undergoing CIRP vide Hon'ble NCLT Mumbai Bench order dated Feb 8, 2021. Pursuant to the said order and regulation (2A) and (2B) of Regulation 15 which came into effect vide SEBI (LODR) (Third Amendment) Regulations,
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	Yes

## ii. Composition of Committees

### a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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1	Jagmohan Talan	ID	Chairperson	14-Oct-2020	
2	Sukhdarshan Singh Bedi	ID	Member	14-Oct-2020	12-Aug-2021
3	Ritu Vats	ID	Member	14-Oct-2020	
4	Harish Kumar Mittal	C & ED	Member	05-Feb-2015	

Company Remarks	.CompanyisundergoingCIRPvideHon'bleNCLTMumbaiBenchororderdatedFeb8,2021.Pursuanttothesaidordera regulation(2A)and(2B)ofRegulation15whichcameintoeffectvideSEBI(LODR)(ThirdAmendment)Regulations,2
Whether Permanent chairperson appointed	Yes

#### b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Sukhdarshan Singh Bedi	ID	Chairperson	14-Oct-2020	12-Aug-2021
2	Jagmohan Talan	ID	Member	14-Oct-2020	
3	Harish Kumar Mittal	C & ED	Member	09-Dec-2016	

Company Remarks	
Whether Permanent chairperson appointed	Yes

#### c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Sukhdarshan Singh Bedi	ID	Chairperson	14-Oct-2020	12-Aug-2021
2	Jagmohan Talan	ID	Member	14-Oct-2020	
3	Shalabh Mittal	ED	Member	09-Dec-2016	12-Aug-2021

Company Remarks	
Whether Permanent chairperson appointed	Yes

#### d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Sukhdarshan Singh Bedi	ID	Chairperson	14-Oct-2020	12-Aug-2021
2	Jagmohan Talan	ID	Member	14-Oct-2020	
3	Ritu Vats	ID	Member	14-Oct-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

#### iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
23-Jun-2021	12-Aug-2021	Yes	1	2

Company	CompanyisundergoingCIRPvideHon'bleNCLTMumbaiBenchororderdatedFeb8,2021.Pursuanttothesaidordera
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Remarks	regulation(2A)and(2B)ofRegulation15whichcameintoeffectvideSEBI(LODR)(ThirdAmendment)Regulations,
Maximum gap between any two consecutive (in number of days)	49

iv. **Meeting of Committees**

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	23-Jun-2021		Yes	1	2
Audit Committee		12-Aug-2021	Yes	1	2
Nomination & Remuneration Committee	23-Jun-2021		Yes	0	2
Nomination & Remuneration Committee		12-Aug-2021	Yes	0	2
Stakeholders Relationship Committee	23-Jun-2021		Yes	0	2

Company Remarks	CompanyisundergoingCIRPvideHon'bleNCLTMumbaiBenchorderdatedFeb8,2021.Pursuanttothesaidordera regulation(2A)and(2B)ofRegulation15whichcameintoeffectvideSEBI(LODR)(ThirdAmendment)Regulations,
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	49

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	

Disclosure of notes on related party transactions and	
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Disclosure of notes of material related party transactions	
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## VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **No**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **No**
  - b. Nomination & remuneration committee - **No**
  - c. Stakeholders relationship committee - **No**
  - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**  
b. Any comments/observations/advice of Board of Directors may be mentioned here:

**Company is undergoing CIRP vide Hon'ble NCLT Mumbai Bench order dated Feb 8, 2021. Pursuant to the said order a regulation (2A) and (2B) of Regulation 15 which came into effect vide SEBI (LODR) (Third Amendment) Regulations, 2015. The Company has designated Mr. Rajendra Kothari, CFO of the Company as the Compliance Officer of the Company.**

**Name :** Rajendra Kothari  
**Designation :** Compliance Officer

## ANNEXURE III

### Affirmations

<b>Broad Heading</b>	<b>Regulation Number</b>	<b>Compliance Status</b>	<b>Company Remark</b>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Not Applicable	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Not Applicable	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Not Applicable	

Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Not Applicable	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Not Applicable	

Note:

The Company has been granted extension for holding Annual General Meeting for the year 2020-21 by the Registrar of Companies and accordingly, the compliance status has been mentioned as Not Applicable. The Company has designated Mr. Rajendra Kothari, Chief Financial Officer of the Company as the Compliance Officer of the Company w.e.f. 18th August, 2021.

**Name** : **Rajendra Kothari**  
**Designation** : **Compliance Officer**

**ANNEXURE IV**

%symbol%	%companyName%	%quarterEnded%
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**(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to**

<b>Aggregate amount advanced during six months</b>	<b>Balance outstanding at the end of six months</b>
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<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>

**(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

<b>Entity</b>	<b>Type (guarantee, comfort letter etc.)</b>	<b>Aggregate amount of issuance during six months</b>	<b>Balance outstanding at the end of six months (taking into account any invocation)</b>
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

**(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

<b>Entity</b>	<b>Type of Security (cash, shares etc.)</b>	<b>Aggregate value of security provided during six months</b>	<b>Balance outstanding at the end of six months</b>
<i>Promoter or any other entity</i>			

<i>controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

**(D) If the Listed Entity would like to provide any other information the same may be indicated here**

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**Affirmations**

*All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company*

**Company Remarks in case of non-compliant status**

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**Name:**  
**Designation:** anx4Designation  
**Place:**  
**Date:**